

AD-A233 756

Application of a Conjugate Gradient Method to the Synthesis of Phase-Only Planar Arrays

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15 February 1991



Prepared for

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El Segundo, California

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This report was submitted by The Aerospace Corporation, El Segundo, CA 90245, under Contract No. F04/01-88-C-0089 with the Space Systems Division, P. O. Box 92960, Los Angeles, CA 90009-2960. It was reviewed and approved for The Aerospace Corporation by B. K. Janousek, Director, Electronics Research Laboratory. Capt Seth Parkoff was the project officer for the Mission-Oriented Investigation and Experimentation (MOIE) Program.

This report has been reviewed by the Public Affairs Office (PAS) and is releasable to the National Technical Information Service (NTIS). At NTIS, it will be available to the general public, including foreign nationals.

This technical report has been reviewed and is approved for publication. Publication of this report does not constitute Air Force approval of the report's findings or conclusions. It is published only for the exchange and stimulation of ideas.

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AFSTC/WCO CL-AB

UNCLASSIFIED SECURITY CLASSIFICATION OF THIS PAGE

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16. SUPPLEME	ENTARY NOTAT	ION							
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FIELD	GROUP		GROUP	Antenna Array					
				Conjugate Gra	dient				
19. ABSTRACT	(Continue on re	everse if nec	cessary and	identify by block number)		-			
The problem of minimizing the peak sidelobe level of a planar array of dipoles using phase-only synthesis is investigated. A hybrid nonlinear function minimization scheme is developed using a Taylor series approximation and a conjugate gradient algorithm. A weighted average peak sidelobe level function is introduced to yield a more stable numerical procedure. The peak sidelobe level is found to decrease in proportion to the logarithm of the aperture behavior.									
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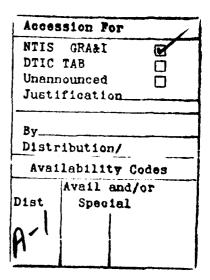
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I. INTRODUCTION

The conjugate gradient method was developed by Hestenes and independently by Steifel, but the initial comprehensive treatment was a joint publication (ref. 1). The first application of the method to the synthesis of antenna patterns appears to have been implemented by Fong and Birgenheier (ref. 2) to compute the line source required to produce a desired power pattern. This application concerned the solution of a Fredholm equation of the first kind by minimizing an appropriate weighted least-squares integral. The algorithm used in reference 2 is a computationally fast nonlinear minimization scheme, but it has two major disadvantages. First, it may not converge for many functions that represent the power pattern of a discrete array. Second, an external one-dimensional minimization algorithm must be supplied by the user. These two disadvantages can lead to an unpredictable breakdown in the algorithm.

This study has two major parts. The first part is the development of a reliable way to apply a conjugate gradient algorithm to the minimization of an arbitrary nonlinear function. The second part is the application of that algorithm to the minimization of the maximum sidelobe level of a planar array of dipoles using phase-only synthesis.





II. GEOMETRY AND FAR FIELD

The array configuration chosen for this study is a two-dimensional array of half-wavelength horizontal dipoles placed above a ground plane. The dipoles are placed such that there is quadrant symmetry, and a circular perimeter is approximated. The top view of a sample array is shown in Fig. 1.

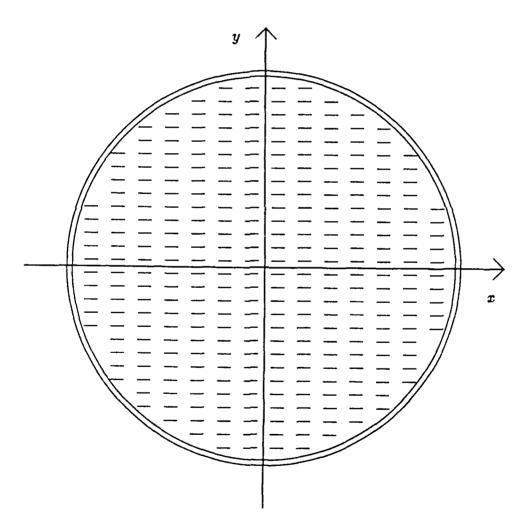


Fig. 1. Geometry of Planar Array

Assuming an $e^{j\omega t}$ time convention, the total far-zone electric field due to

a single x-directed current source is given by

$$\tilde{E} = j \quad Z_0 \frac{e^{-jk_0R}}{4\pi R} \left[\sin(\phi)\hat{\theta} + \cos(\theta)\cos(\phi)\hat{\phi} \right] N_x \tag{2.1}$$

where

$$N_{x} = \iiint J_{x}(x, y, z)e^{j(ux+vy+\sqrt{k_{0}^{2}-u^{2}-v^{2}z})}dxdydz$$
 (2.2)

and

$$u = k_0 \sin(\theta) \cos(\phi) \tag{2.3}$$

$$v = k_0 \sin(\theta) \sin(\phi). \tag{2.4}$$

If the source consists of 4N equal-amplitude, half-wavelength dipoles placed a distance h above a ground plane and if the kth current has the form

$$J_{x} = I_{k}\delta(y - y_{k})\delta(z)\sin\left[k_{0}\left(\frac{\lambda_{0}}{4} - |x - x_{k}|\right)\right] \qquad |x - x_{k}| \leq \frac{\lambda_{0}}{4} \qquad (2.5)$$

then equation (2.2) reduces to

$$N_{x} = j4k_{0} \sin\left(h\sqrt{k_{0}^{2} - u^{2} - v^{2}}\right) \frac{\cos\left(\frac{\pi}{2k_{0}}u\right)}{k_{0}^{2} - u^{2}} \sum_{h=1}^{4N} I_{h} e^{j\left[\gamma_{h} + \psi_{h}(u,v)\right]}.$$
 (2.6)

The far-zone power pattern is then given by

$$P(\gamma, u, v) = \beta(u, v) \left[\left(\sum_{k=1}^{4N} I_k \cos[\gamma_k + \psi_k(u, v)] \right)^2 + \left(\sum_{k=1}^{4N} I_k \sin[\gamma_k + \psi_k(u, v)] \right)^2 \right] (2.7)$$

where

$$\beta(u,v) = \frac{1}{k_0^2 - u^2} \sin^2\left(h\sqrt{k_0^2 - u^2 - v^2}\right) \cos^2\left(\frac{\pi}{2k_0}u\right)$$
 (2.8)

and $\psi_k(u,v) = ux_k + vy_k$. Because quadrant symmetry is assumed, the power pattern simplifies to

$$P(\gamma, u, v) = \beta(u, v) \left[\left(\sum_{k=1}^{N} I_k \zeta_k(u, v) \cos(\gamma_k) \right)^2 + \left(\sum_{k=1}^{N} I_k \zeta_k(u, v) \sin(\gamma_k) \right)^2 \right]$$
(2.9)

where $\zeta_k = \cos(ux_k)\cos(vy_k)$.

Antenna pattern synthesis involves controlling the power pattern. For a known amplitude excitation, control of the power pattern may be achieved by adjusting the relative phase excitation of each element. The goal of the synthesis will be to maximize the power in the main beam while simultaneously minimizing the peak sidelobe power. Observation of equation (2.9) indicates that the far-zone power pattern is a nonlinear function of the unknown excitation phase. Therefore, a nonlinear minimization scheme will be required in the synthesis.

III. A NONLINEAR CONJUGATE GRADIENT METHOD

As the previous section indicated, minimizing the sidelobe level power of an antenna pattern involves the minimization of a nonlinear function. A conjugate gradient method may be used to find the minimum value of the nonlinear function $f(\gamma)$, where

$$\gamma = \begin{bmatrix} \gamma_1 & \gamma_2 & \cdots & \gamma_N \end{bmatrix}^T \tag{3.1}$$

with each γ_k representing the relative phase shift for the kth element. It will be assumed that $f(\gamma)$ is a nonconvex, twice-differentiable real function of a real variable. This implies that there are multiple solutions to the equation

$$\nabla f = 0 \tag{3.2}$$

where ∇f is the gradient of $f(\gamma)$ defined by

$$\nabla f = \begin{bmatrix} \frac{\partial f}{\partial \gamma_1} & \frac{\partial f}{\partial \gamma_2} & \cdots & \frac{\partial f}{\partial \gamma_N} \end{bmatrix}^T. \tag{3.3}$$

Each solution of equation (3.2) is called a "stationary" or "critical" point. A conjugate gradient solution is possible by assuming an initial estimate γ^1 and approximating $f(\gamma)$ as a quadratic truncation of a Taylor series expanded around this point as

$$f(\gamma) = f(\gamma^{1}) - (\gamma - \gamma^{1})^{T} G + \frac{1}{2} (\gamma - \gamma^{1})^{T} H(\gamma - \gamma^{1}) + O(|\gamma - \gamma^{1}|^{3})$$
(3.4)

where G is the negative gradient of $f(\gamma)$ evaluated at γ^1 given by

$$G = -(\nabla f)(\gamma^1) = \begin{bmatrix} \frac{\partial f}{\partial \gamma_1}(\gamma^1) & \frac{\partial f}{\partial \gamma_2}(\gamma^1) & \cdots & \frac{\partial f}{\partial \gamma_N}(\gamma^1) \end{bmatrix}^T$$
(3.5)

and H is the Hessian of $f(\gamma)$ evaluated at γ^{\perp} given by

$$\mathcal{H} = (\nabla \nabla f)(\gamma^{1}) = \begin{bmatrix} \frac{\theta^{2}f}{\theta^{2}\gamma_{1}}(\gamma^{1}) & \frac{\theta^{2}f}{\theta\gamma_{2}\theta\gamma_{1}}(\gamma^{1}) & \cdots & \frac{\theta^{2}f}{\theta\gamma_{2}\theta\gamma_{N}}(\gamma^{1}) \\ \frac{\theta^{2}f}{\theta\gamma_{2}\theta^{2}\gamma_{1}}(\gamma^{1}) & \frac{\theta^{2}f}{\theta^{2}\gamma_{2}}(\gamma^{1}) & \cdots & \frac{\theta^{2}f}{\theta\gamma_{2}\theta\gamma_{N}}(\gamma^{1}) \\ \vdots & \vdots & \ddots & \vdots \\ \frac{\theta^{2}f}{\theta\gamma_{N}\theta\gamma_{1}}(\gamma^{1}) & \frac{\theta^{2}f}{\theta\gamma_{N}\theta\gamma_{2}}(\gamma^{1}) & \cdots & \frac{\theta^{2}f}{\theta^{2}\gamma_{N}}(\gamma^{1}) \end{bmatrix}. \tag{3.6}$$

It is important to note that the Hessian matrix H is always symmetric but not necessarily positive definite. The matrix would be positive definite if, given any nonzero vector p, the condition $\langle Hp,p\rangle>0$ is satisfied. The brackets denote an inner product between two $N\times 1$ vectors defined by

$$\langle p,q\rangle = \sum_{n=1}^{N} p_n q_n. \tag{3.7}$$

Making the substitution $z = \gamma - \gamma^1$ and excluding the constant and higher order terms of equation (3.4) yields the function

$$c(z) = \frac{1}{2}z^T H z - z^T G \tag{3.8}$$

which, when minimized, produces the same solution as solving the linear system of equations Hz = G. Because H and G are implicit functions of z, the algorithm must be restarted periodically to yield the correct solution to the original problem. If H is positive definite, then a possible algorithm which iterates K times with L restarts is given as follows:

begin loop for l=1,...,L

compute $G_l = -(\nabla f)(\gamma^l)$, $H_l = (\nabla \nabla f)(\gamma^l)$ and set $z^1 = 0$

$$r^1 = G_l \tag{3.9}$$

$$\beta_0 = \frac{1}{\langle r^1, r^1 \rangle} \tag{3.10}$$

$$p^1 = \beta_0 r^1 \tag{3.11}$$

begin loop for k=1,...,K

$$\alpha_k = \frac{1}{(H_i p^k, p^k)} \tag{3.12}$$

$$z^{k+1} = z^k + \alpha p^k \tag{3.13}$$

$$r^{k+1} = r^k - \alpha_k H_1 p^k \tag{3.14}$$

$$err = \frac{\langle r^{k+1}, r^{k+1} \rangle}{\langle r^k, r^k \rangle} \tag{3.15}$$

if err < tolerance, terminate k loop, else

$$\beta_k = \frac{1}{\langle r^{k+1}, r^{k+1} \rangle} \tag{3.16}$$

$$p^{k+1} = p^k + \beta_k r^{k+1} \tag{3.17}$$

continue k loop

$$\gamma^{l+1} = \gamma^l + z^{k+1} \tag{3.18}$$

continue l loop

This algorithm requires that H be explicitly known in order to compute α_k and r^{k+1} . An alternate algorithm that does not require computation of H may be derived by noting that $r^{k+1} = -(\nabla c)(z^k) \approx -(\nabla f)(\gamma^k)$. Also, α_k may be approximated by solving the one-dimensional minimization problem

choose
$$\alpha_k$$
 to minimize $f(\gamma^k + \alpha_k p^k)$ (3.19)

This yields an alternate algorithm proposed in references 2 and 3 which does not require the explicit calculation of H. The algorithm is given as follows:

begin loop for l=1,...,L

compute $G_l = -(\nabla f)(\gamma^l)$, $H_l = (\nabla \nabla f)(\gamma^l)$ and set $z^1 = 0$

$$r^1 = G_l \tag{3.20}$$

$$\beta_0 = \frac{1}{\langle r^1, r^1 \rangle} \tag{3.21}$$

$$p^1 = \beta_0 r^1 \tag{3.22}$$

begin loop for k = 1, ..., K

choose
$$\alpha_k$$
 to minimize $f(\gamma^k + \alpha_k p^k)$ (3.23)

$$z^{k+1} - z^r + \alpha_k p^k \tag{3.24}$$

$$r^{k+1} = (\nabla f)(\gamma^k + z^{k+1}) \tag{3.25}$$

$$\frac{r^{k+1}}{err} = \frac{(\nabla f)(\gamma^{k} + z^{k+1})}{\frac{(r^{k+1} - r^{k+1})}{(r^{k}, r^{k})}}$$
(3.25)

if err < tole incc, terminate k loop, else

$$\beta_{k} = \frac{1}{\langle r^{k+1}, r^{k+1} \rangle}$$

$$p^{k+1} = p^{k} + \beta_{k} r^{k+1}$$
(3.27)

$$p^{k+1} = p^k + \beta_5 r^{k+1} \tag{3.28}$$

continue k loop

$$\gamma^{l+1} = \gamma^l + z^{k+1} \tag{3.29}$$

continue I loop

This algorithm avoids computation of the Hessian but may not always yield a solution. If H is not positive definite, then the scalar α_k may be infi-Lite or negative, which violates the conditions under which the algorithm is derived. This may cause an unpredictable breakdown in the one-dimensional minimization algorithm used to find equation (3.23). Thus, although the algorithm presented in equations (3.20) – (3.29) is computationally efficient, the low reliability would require a constant user interface and an additional decision concerning the contingency of breakdown of the algorithm. An alternative algorithm that does not suffer from these diadvantages is presented next.

If the Hessian is nonsingular, but possibly indefinite, then an algorithm that iterates K times with L restarts is given as follows:

begin loop for l=1,...,L

compute $G_l = -(\nabla f)(\gamma^l)$, $H_l = (\nabla \nabla f)(\gamma^l)$ and set $z^1 = 0$

$$\mathbf{r}^1 = G_l \tag{3.30}$$

$$\beta_0 = \frac{1}{\langle H_t r^1, H_t r^1 \rangle} \tag{3.31}$$

$$p^1 = \beta_0 H_I r^1 \tag{3.32}$$

begin loop for k = 1, ..., K

$$\alpha_k = \frac{1}{\langle H_t p^k, H_t p^k \rangle} \tag{3.33}$$

$$z^{k+1} = z^k + \alpha_k p^k \tag{3.34}$$

$$r^{k+1} = r^k - \alpha_k H_l p^k \tag{3.35}$$

$$err = \frac{\langle r^{k+1}, r^{k+1} \rangle}{\langle r^k, r^k \rangle} \tag{3.36}$$

if err < tolerance, terminate k loop, else

$$\beta_{k} = \frac{1}{\langle H_{l}r^{k+1}, H_{l}r^{k+1}\rangle} \tag{3.37}$$

$$p^{k+1} = p^k + \beta_k H_l r^{k+1} \tag{3.38}$$

continue k loop

$$\gamma^{l+1} = \gamma^l + z^{k+1} \tag{3.39}$$

continue l loop

This algorithm requires knowledge of both the gradient and Hessian of the function but offers greater reliability against failure.

The quadratic is a local approximation, so that if the initial guess is not near a solution to the global problem, then there is a point of diminishing returns in terms of minimizing the quadratic. In other words, if the quadratic is not near a minimum of the original function, then it is not beneficial to minimize it very far. It is better to perform a small number of conjugate gradient iterations on the quadratic and then restart. As the quadratic approximation gets closer to the actual trough of the original function, it becomes advantageous to increase the number of conjugate gradient iterations. In general, the number of restarts L will be a large number such that $L \gg 1$. This contributes to the majority of the computation, because it takes much more time to compute the gradient and Hessian than to perform a conjugate gradient iteration. The conservative approach would be to always keep K small such that $1 \le K \le 10$. However, the optimal approach would be to allow K to be a function of L, such that K = K(L) could be incremented when the quadratic gets closer to a solution region.

IV. MINIMIZING MAXIMUM SIDELOBE LEVEL

Now that the numerical algorithm has been established, it is necessary to find the appropriate function to minimize. The desired goal of the synthesis is to minimize the peak sidelobe power level while keeping the peak of the main beam as high as possible. It is well known that the minimum peak sidelobe level for a given beam width occurs when all the sidelobes are at the same height. Therefore, in order to implement the algorithm of the previous section, it is necessary to find a suitable function that, when minimized, will yield this behavior. The function used by Deford and Gandhi (refs. 4 and 5) is given by

$$f(\gamma) = \frac{P(\gamma, u_i, v_i)}{P(\gamma, 0, 0)} \tag{4.1}$$

where $P(\gamma, u_i, v_i)$ is the peak sidelobe power level located at the point (u_i, v_i) and $P(\gamma, 0, 0)$ is the power at the main beam peak. If this function is used, then as the iterations proceed, a new peak sidelobe is found and the function is changed. Unfortunately, using this approach can lead to stability problems as the sidelobe level reaches a uniform level. This is because as the sidelobe level becomes more uniform, the pattern becomes very sensitive to a change in any sidelobe. This can lead to oscillations and instability.

The approach proposed in this report is to minimize the weighted average of the current peak sidelobe level with all previous peak sidelobe levels at each restart of the algorithm given by equations (3.30) through (3.39). More specifically, at each restart, the sidelobe region is searched to find the peak sidelobe point. If the peak sidelobe point occurs at a previous peak, then the components of the weighted average remain unchanged. However, if the peak occurs at a new sample point, then a new function is formed by taking the weighted average of the new point with all the previous peak points. As an example, suppose that at some restart number, the sidelobe region is searched and the point (u_k, v_k) , $k \neq i$ is found to have the highest sidelobe.

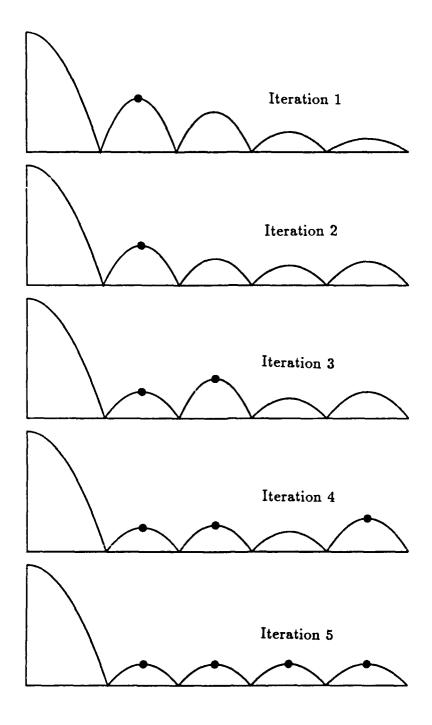


Fig. 2. Weighted Average Minimization

Then equation (4.1) would be modified to

$$f(\gamma) = \frac{1}{P(\gamma, 0, 0)} \frac{\sum_{i=1}^{2} P^{2}(\gamma, u_{i}, v_{i})}{\sum_{i=1}^{2} P(\gamma, u_{i}, v_{i})}$$
(4.2)

The averaging effect is chosen because as the sidelobes approach a uniform level, the averaging provides an automatic damping effect that reduces the sensitivity of the sidelobe pattern to small changes in the phase. The average is weighted so that more emphasis is placed on minimizing the largest sidelobes.

Figure 2 exhibits the typical evolution of an axial cut in the sidelobe pattern, assuming that the synthesis produced uniform sidelobes in five iterations. At the first iteration, the near-in sidelobe has the highest peak. After the first minimization, the same point still has the highest peak. At the third iteration, the second sidelobe now has the highest level, so a weighted average of the two lobes is formed. At the fourth iteration, the fourth lobe now is the highest, and finally at the fifth iteration, all the lobes are at an equal level. Because of the conservation of energy, this level will always be between the highest and lowest peaks of the pattern with uniform illumination.

A general weighted average function may now be defined as

$$f(\gamma) = \frac{P_a(\gamma)}{P_0(\gamma)} \tag{4.3}$$

where $P_a(\gamma)$ is the weighted average sidelobe level at the *lth* restart

$$P_a(\gamma) = \frac{\sum_{i=1}^{M_i} P_i^2}{\sum_{i=1}^{M_i} P_i}$$
(4.4)

and the u-v plane is sampled as in Fig. 3. The components of the gradient and Hessian are computed from

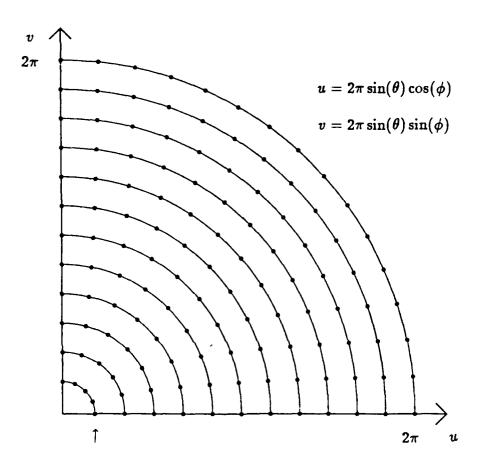
$$\frac{\partial f}{\partial \gamma_m} = \frac{1}{P_0} \left[\frac{\partial P_a}{\partial \gamma_m} - \frac{P_a}{P_0} \frac{\partial P_0}{\partial \gamma_m} \right] \tag{4.5}$$

$$\frac{\partial f}{\partial \gamma_m} = \frac{1}{P_0} \left[\frac{\partial P_a}{\partial \gamma_m} - \frac{P_a}{P_0} \frac{\partial P_0}{\partial \gamma_m} \right]$$

$$\frac{\partial^2 f}{\partial \gamma_m \partial \gamma_n} = \frac{-1}{P_0} \left[\frac{\partial f}{\partial \gamma_n} \frac{\partial P_0}{\partial \gamma_m} + \frac{\partial f}{\partial \gamma_m} \frac{\partial P_0}{\partial \gamma_n} - \frac{\partial^2 P_a}{\partial \gamma_m \partial \gamma_n} + \frac{P_a}{P_0} \frac{\partial^2 P_0}{\partial \gamma_m \partial \gamma_n} \right].$$
(4.5)

Defining the $M \times N$ Jacobian matrix J as

$$J = \begin{bmatrix} \frac{\partial P_{1}}{\partial \gamma_{1}} & \frac{\partial P_{1}}{\partial \gamma_{2}} & \cdots & \frac{\partial P_{1}}{\partial \gamma_{N}} \\ \frac{\partial P_{2}}{\partial \gamma_{1}} & \frac{\partial P_{2}}{\partial \gamma_{2}} & \cdots & \frac{\partial P_{2}}{\partial \gamma_{N}} \\ \vdots & \vdots & \ddots & \vdots \\ \frac{\partial P_{M}}{\partial \gamma_{1}} & \frac{\partial P_{M}}{\partial \gamma_{2}} & \cdots & \frac{\partial P_{M}}{\partial \gamma_{N}} \end{bmatrix}, \tag{4.7}$$



Main Beam Null

Fig. 3. Sampled u - v Plane

the first and second derivatives may be expanded as

$$\frac{\partial P_a}{\partial \gamma_m} = \frac{2}{s_1^2} [s_1 B_m - s_2 A_m] \tag{4.8}$$

$$\frac{\partial^2 P_a}{\partial \gamma_m \partial \gamma_n} = \frac{2}{s_1^2} \left[A_n B_m - \left[A_m + \frac{\partial P_a}{\partial \gamma_m} \right] B_n + s_1 Q_{mn} - s_2 W_{mn} \right]$$
(4.9)

where

$$s_1 = \sum_{i=1}^{M} P_i \tag{4.10}$$

$$s_2 = \frac{1}{2} \sum_{i=1}^{M} P_i^2 \tag{4.11}$$

$$A_m = \sum_{i=1}^M J_{im} \tag{4.12}$$

$$B_{m} = \sum_{i=1}^{M} P_{i} J_{im} \tag{4.13}$$

$$Q_{mn} = \sum_{i=1}^{M} \left[J_{im} J_{in} + P_i \frac{\partial^2 P_i}{\partial \gamma_m \partial \gamma_n} \right]$$
 (4.14)

$$W_{mn} = \sum_{i=1}^{M} \frac{\partial^2 P_i}{\partial \gamma_m \partial \gamma_n} \tag{4.15}$$

and letting $\psi_{pq} = u_q x_p + v_q y_p$ yields

$$P_{i} = \beta_{i} \left[\left[\sum_{k=1}^{4N} I_{k} \cos(\gamma_{k} + \psi_{ki}) \right]^{2} + \left[\sum_{k=1}^{4N} I_{k} \sin(\gamma_{k} + \psi_{ki}) \right]^{2} \right]$$
(4.16)

$$\frac{\partial P_i}{\partial \gamma_m} = 2\beta_i I_m \sum_{k=1}^{4N} I_k \sin(\gamma_k - \gamma_m + \psi_{ki} - \psi_{mi})$$
 (4.17)

$$\frac{\partial^2 P_i}{\partial \gamma_m \partial \gamma_n} = 2\beta_i I_m I_n \cos(\gamma_n - \gamma_m + \psi_{ni} - \psi_{mi}). \tag{4.18}$$

Note that for the broadside array with a main beam at $\theta = 0$ and $\phi = 0$

$$P_{i} = \beta_{i} \left[\left[\sum_{k=1}^{N} I_{k} \zeta_{ik} \cos(\gamma_{k}) \right]^{2} + \left[\sum_{k=1}^{N} I_{k} \zeta_{ik} \sin(\gamma_{k}) \right]^{2} \right]$$
(4.19)

$$\frac{\partial P_i}{\partial \gamma_m} = 2\beta_i \zeta_{im} I_m \sum_{k=1}^N I_k \zeta_{ik} \sin(\gamma_k - \gamma_m)$$
 (4.20)

$$\frac{\partial^2 P_i}{\partial \gamma_m \partial \gamma_n} = 2\beta_i \zeta_{im} \zeta_{in} I_m I_n \cos(\gamma_m - \gamma_n) \tag{4.21}$$

where $\zeta_{pq} = \cos(u_p x_q) \cos(v_p y_q)$.

The phase may be initialized as the sum of a linear shift and a perturbation term given by

$$\gamma_k = -2\pi (f_{x0}x_k + f_{y0}y_k) + \xi(x_k, y_k) \tag{4.22}$$

where $f_{x0} = \sin(\theta_0)\cos(\phi_0)$ and $f_{y0} = \sin(\theta_0)\sin(\phi_0)$. The term $\xi(x_k, y_k)$ must be added if all amplitudes are equal because observation of equation (4.20)

indicates that a linear phase is a stationary point of equation (4.3). Deford and Gandhi (ref. 4) have found that although the final phase distribution is strongly dependent on the initial guess, the resulting peak sidelobe level is not.

It is important from a practical standpoint to have a measure of the ability of the array to concentrate energy in the main beam. The parameter of interest is the directivity defined by

$$Directivity = \frac{4\pi A_a}{\lambda_0^2}ATE \cdot PTE$$
 (4.23)

where A_a is the aperture area, ATE is the amplitude taper efficiency, and PTE is the phase taper efficiency. The ATE is a measure of how the amplitude variations of the electric field across the aperture of the array affect the concentration of energy into the main beam. Letting s denote the surface, the ATE is given by

$$ATE = \frac{\left(\iint_{s} |\bar{E}|ds\right)^{2}}{A_{a}\iint_{s} |\bar{E}|^{2}ds}$$

$$\approx \frac{\left(\sum_{k=1}^{N} I_{k}\right)^{2}}{N\sum_{k=1}^{N} I_{k}^{2}}.$$
(4.24)

The PTE is a measure of how the phase variations of the electric field across the aperture of the array affect the concentration of energy into the main beam. The PTE is given by

$$PTE = \frac{\left| \iint_{s} \bar{E} ds \right|^{2}}{\left(\iint_{s} |\bar{E}|^{2} ds \right)^{2}}$$

$$\approx \frac{\left[\sum_{k=1}^{N} I_{k} \cos(\gamma_{k}) \right]^{2} + \left[\sum_{k=1}^{N} I_{k} \sin(\gamma_{k}) \right]^{2}}{\left[\sum_{k=1}^{N} I_{k} \right]^{2}}.$$
(4.25)

A phase-only array with a uniform amplitude distribution has an ATE-1. This implies that the maximum power in the main beam is achieved by a uniform amplitude and phase distribution.

A common characteristic of both amplitude and phase synthesis is that as the sidelobe level is lowered, the beam width increases. This increase in beam width may be measured with respect to the beam width of a uniform array. Assuming a circular aperture of diameter D, if $D >> \lambda_0$, then the half power beam width (HPBW) of a uniform array is given by

$$HPBW = 58.95^{\circ} \frac{\lambda_0}{D} \tag{4.26}$$

and the beam width between first nulls (BWFN) is given by

BWFN = 139.76°
$$\frac{\lambda_0}{D}$$
. (4.27)

Note that the beam width is primarily determined by the diameter of the array. It can be observed by Fourier transform theory that the HPBW and the BWFN for a uniform amplitude and phase array are the minimum achievable.

V. RESULTS

All the results shown in this report were computed by the Fortran 77 program CGPHSA. A description of the input parameters as well as the source code listing is given in the appendix. All results were computed on an IBM 3090 computer.

Figure 4 shows the peak sidelobe level as a function of the array diameter for a uniform phase array and a phase-synthesized array. The dipole length is given by $d_l = 0.5\lambda_0$, and the center-to-center spacing is given by $s = 0.577\lambda_0$. The dipoles are placed at a distance of $h = 0.25\lambda_0$ above a perfectly conducting ground plane. The number of restarts was approximately L = 10N, where N is the number of elements in the first quadrant. The number of conjugate gradient iterations was set at K = 2. The sidelobe level of the uniform phase array approaches the limit of the continuous distribution, which is approximately -17.6 dB. The synthesized phase array sidelobe level indicates a logarithmic dependence on the array diameter. A logarithmic curve fit yields the equation

$$SLL(dB) = -4.83 \ln(D) - 10.22. \tag{5.1}$$

Extrapolation based on this equation indicates that a sidelobe level of -40 dB would require about a $470\lambda_0$ diameter aperture.

The relative aperture efficiency is shown in Fig. 5. The curve follows the same logarithmic behavior as the peak sidelobe. At $D = 20\lambda_0$, the power in the main beam has dropped to about 48% of what it is for a uniform distribution. This level is similar to that of an equivalent array using only amplitude tapering.

Figures 6 and 7 compare the half power beamwidth (HPBW) and beamwidth between first nulls (BWFN) of the synthesized array to a uniform array. The synthesized curves appear to be just-scaled versions of equations (4.26) and (4.27). The HPBW for the synthesized array appears to be about

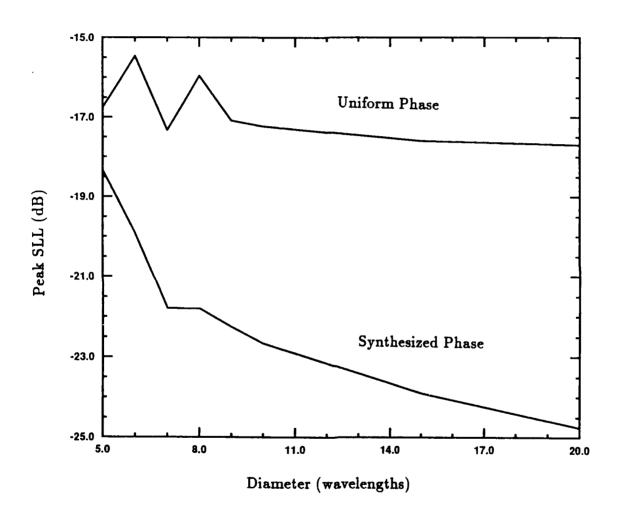


Fig. 4. Peak Sidelobe Level

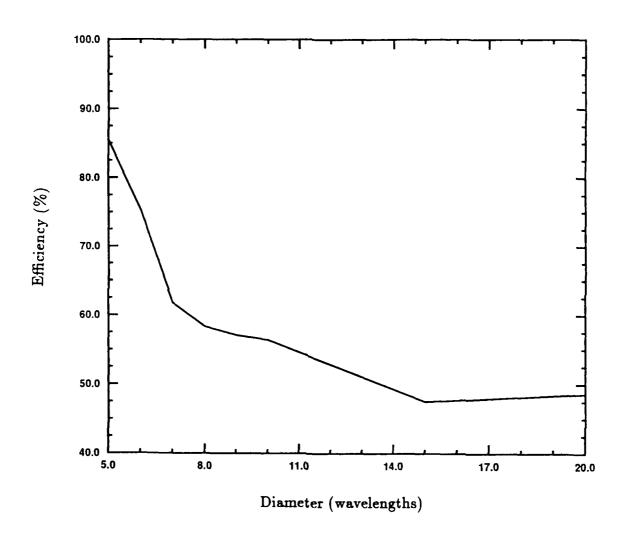


Fig. 5. Aperture Efficiency

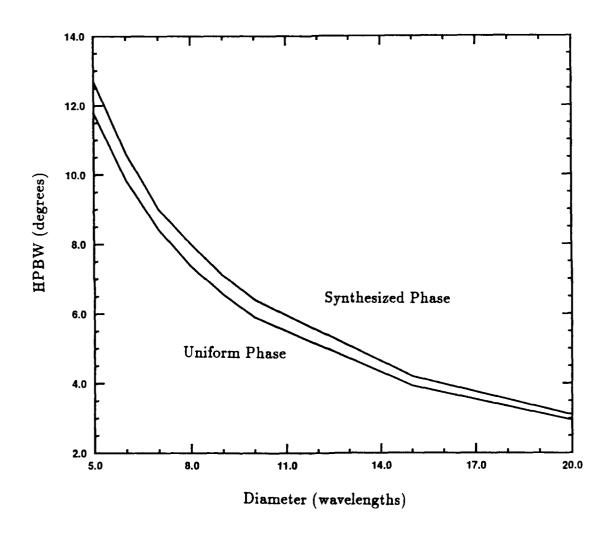


Fig. 6. Half-Power Beam Width

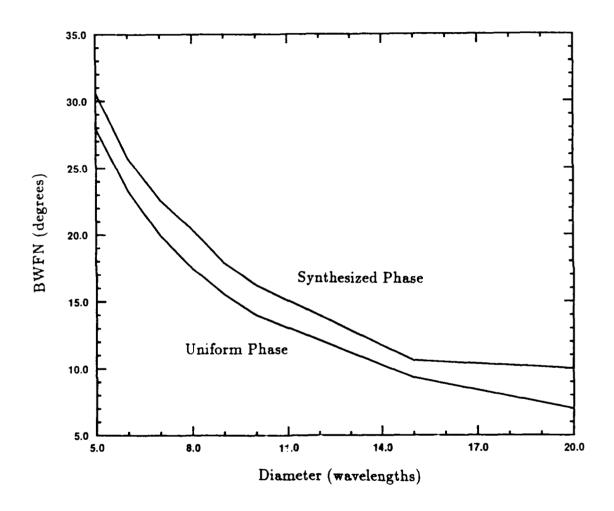


Fig. 7. Beam Width Between First Nulls

1.08 times the uniform HPBW, and the BWFN for the synthesized array is about 1.1 times that of the uniform phase case. This beam broadening is also a characteristic of amplitude tapered arrays and appears to be at a comparable level.

Figures 8 and 9 are power patterns of a $D = 7\lambda_0$ diameter array plotted in decibels in cylindrical coordinates on a circular base with a -32 dB floor. Figures 10 and 11 are the same patterns plotted in spherical coordinates. As shown in Figs. 8 and 10, the sidelobe level of a uniform phase array decreases monotonically as θ goes from 0° to 90° . Figures 9 and 11 indicate that the lowest peak sidelobe level occurs when all the sidelobes have the same height. Therefore, the synthesis of low sidelobes results in a uniform distribution of energy in all sidelobes. The total energy is conserved, because the energy in the peak sidelobes and the main beam is distributed over the lowest sidelobes to bring all the sidelobe peaks to an equal height.

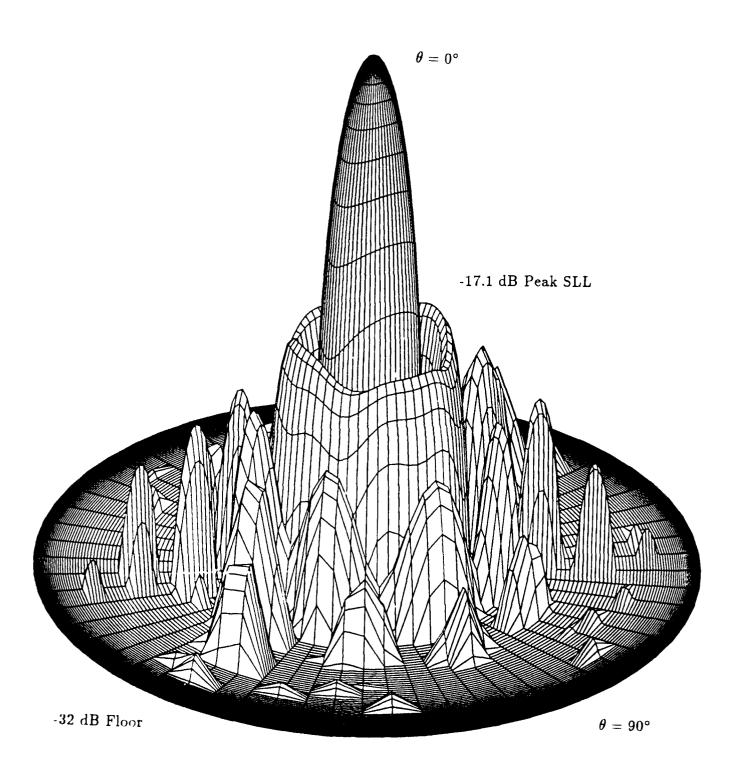


Fig. 8. Uniform Phase Power Pattern in Cylindrical Coordinates

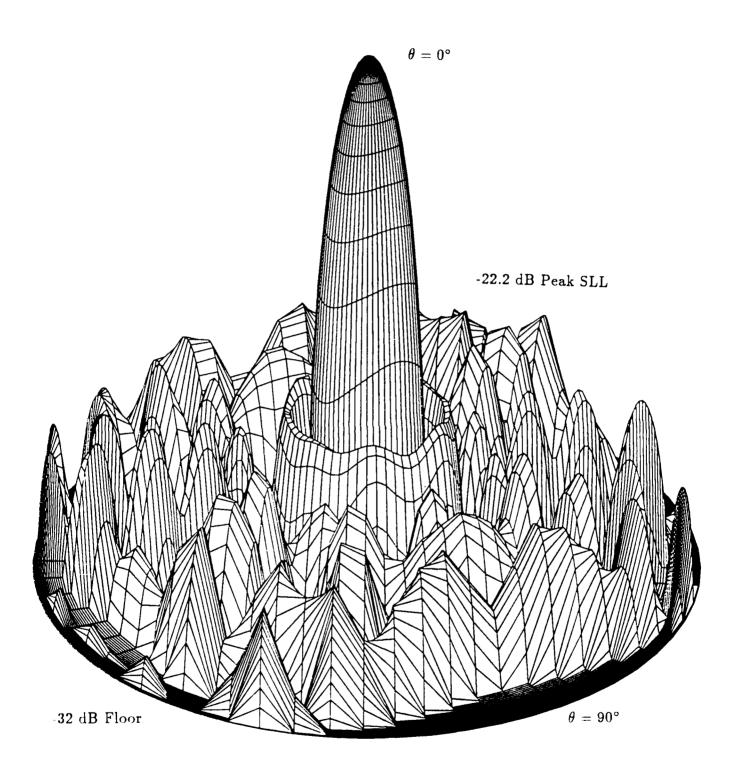


Fig. 9. Synthesized Phase Power Pattern in Cylindrical Coordinates

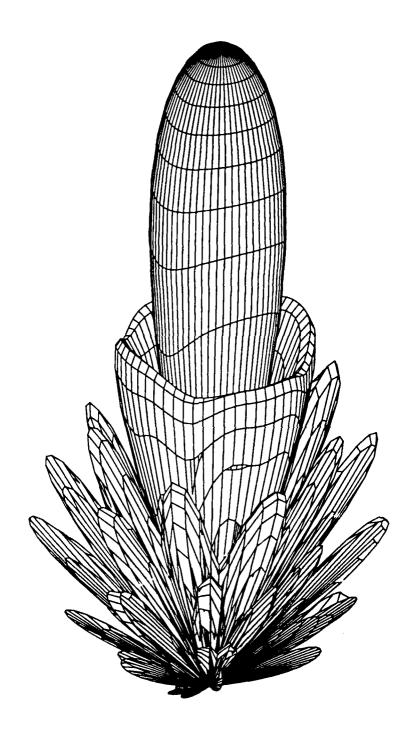


Fig. 10. Uniform Phase Power Pattern in Spherical Coordinates

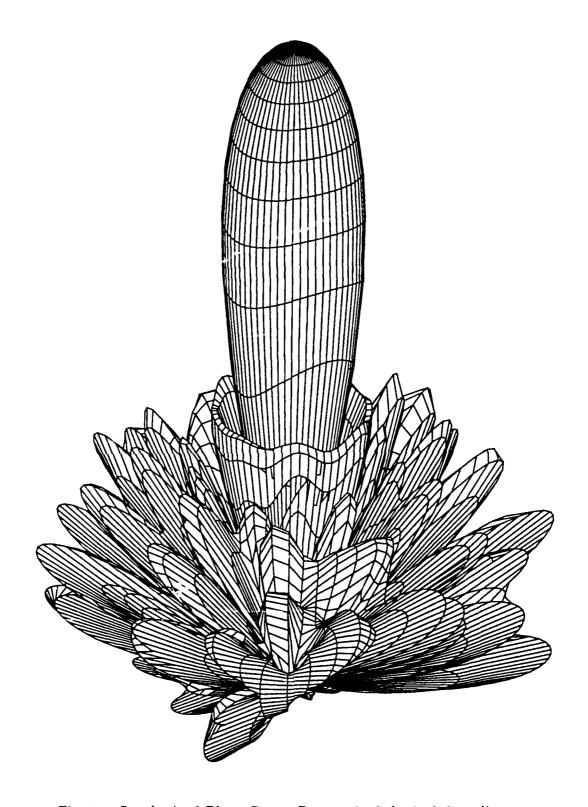


Fig. 11. Synthesized Phase Power Pattern in Spherical Coordinates

VI. CONCLUSIONS

The application of the conjugate gradient method based algorithm described in this report appears to yield a very reliable numerical procedure. If an accurate initial guess is not available, then the number of restarts must be large and the number of conjugate gradient iterations per restart must be small. The results indicate that the peak sidelobe power level may be decreased by phase-only synthesis. The level appears to be a logarithmic function of the array diameter, which limits the usefulness to very large arrays. The convergence is stabilized by forming a weighted average of present and past peak sidelobe power points.

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 <u>IEEE Trans. Antennas Propag.</u>, 36(11), 1624-1629 (Nov. 1988).

APPENDIX: FORTRAN 77 PROGRAM

INPUTS

The Fortran 77 program CGPHSA synthesizes the phase required to yield the lowest sidelobe level for a circular two-dimensional array of x-directed half-wavelength dipoles. Because Fortran 77 allows only static storage allocation, the dimensions of all vectors must appear in the calling program. The required dimension parameters and declarations are given as follows.

```
PROGRAM CGPHSA
        PARAMETER (M=146,NS=980)
        REAL*4 X(M),Y(M),A(M),P(M),W(M),R(M),Q(M),Z(M),G(M),E(M),T(M)
        &,U(NS),V(NS),B(NS),H(M,M),S(M,NS),C(M,M)
         INTEGER*4 J(NS)
        D=7.0
        SX=.577
        SY=.5
        CALL GEOMET(D,SX,SY,N,X,Y)
10
        NOR=0
11
        CALL PARBOL(D, NOR, N, X, Y, A)
12
        CALL CGPATT(M,N,X,Y,D,A,NS,P,W,R,Q,Z,G,U,V,T,B,J,E,H,S,C)
16
        END
17
```

The maximum vector dimension M is the number of elements that fits into a square aperture of side D, multiplied by the ratio of the areas of a circle of diameter D to a square of side D, and is given by

$$M = \text{nearest integer} \left[\frac{\pi D^2}{16SX SY} \right] \tag{A.1}$$

The parameter NT should be set to

$$NT = \text{nearest integer} [6.44D - 5] \tag{A.2}$$

and the parameter NS is then set to

$$NS = \frac{1}{2}NT(9 + NT) \tag{A.3}$$

The subroutine GEOMET returns the number of elements and rectangular coordinates of the center point of all dipoles in the first quadrant of the array. The diameter r, center-to-center x-spacing SI, and the center-to-center y-spacing SI are the required inputs. The following inputs are passed to the subroutine GEOMET.

<u>Input</u>	$\underline{\mathbf{Type}}$	Description
D	R4	diameter of the aperture in wavelengths
SX	R4	center-to-center dipole spacing in the x direction
SY	R4	center-to-center dipole spacing in the y direction
n	14	unspecified integer
I(M)	R4	unspecified vector
Y(M)	R4	unspecified vector

The following outputs are returned from the subroutine GEOMET.

Output	$\underline{\mathrm{Type}}$	<u>Description</u>
N	14	number of dipoles in the first quadrant
X(M)	R4	x coordinates of the center of each dipole
Y(M)	R4	y coordinates of the center of each dipole

The subroutine PARBOL returns the parabolic amplitude distribution of the elements. The diameter and the order of the parabolic taper NOR are the required inputs. The following inputs are passed to the subroutine PARBOL

Input	$\underline{\mathrm{Type}}$	Description
D	R4	diameter of the aperture in wavelengths
NOR	14	order of the parabolic taper
N	14	number of dipoles in the first quadrant
X(M)	R4	x coordinates of the center of each dipole
Y(M)	R4	y coordinates of the center of each dipole
A(M)	R4	unspecified vector

The following output is returned from the subroutine PARBOL.

Output	$T_{\lambda} b_{\alpha}$	Description
A(M)	R4	current amplitudes of each dipole

The following inputs are passed to the subroutine CGPATT.

Input	Type	Description
D	R4	diameter of the aperture in wavelengths
M	14	maximum dimension of vectors
n	14	number of dipoles in the first quadrant
NS	14	total number of sample points in the u-v plane
X(M)	R4	x coordinates of the center of each dipole
Y(M)	R4	y coordinates of the center of each dipole
A(M)	R4	current amplitudes of each dipole
P(M)	R4	scratch vector
W(M)	R4	scratch vector
R(M)	R4	scratch vector
Q(M)	R4	scratch vector
Z(M)	R4	scratch vector
G(M)	R4	scratch vector
T(M)	R4	scratch vector
U(NS)	R4	scratch vector
V(NS)	R4	scratch vector
J(NS)	R4	scratch vector
B(NS)	R4	scratch vector
E(M)	R4	scratch matrix
H(M,M)	R4	scratch matrix
S(M,NS)	R4	scratch matrix
C(M,M)	R4	scratch matrix

OUTPUTS

The following outputs are returned from the subroutine CGPATT.

Output	<u>Description</u>	$\underline{\mathbf{Unit}}$
P(N)	relative phases of each dipole	radians
T(N)	relative phases of each dipole	degrees
Z(1)	half-power beam width	degrees
Z(2)	beam width between first nulls	degrees
Z(3)	amplitude taper efficiency	percent
Z(4)	phase taper efficiency	$\overline{\mathrm{percent}}$

LISTING

```
PROGRAM CGPHSA
3 C THIS PROGRAM COMPUTES THE PHASES REQUIRED FOR A CIRCULAR PLANAR
4 C ARRAY OF X-DIRECTED HALF WAVELENGTH DIPOLES PLACED A QUARTER OF A
5 C WAVELENGTH ABOVE A GROUND PLANE.
C
7 C TIMOTHY J. PETERS
                                                  LAST UPDATED
                                                  05/12/89
                                                                C
8 C THE AEROSPACE CORPORATION
                                                                C
9 C ELECTRONICS RESEARCH LABORATORY
10 C ELECTROMAGNETIC SCIENCES DEPARTMENT
                                                                C
11 C 2350 EAST EL SEGUNDO BOULEVARD
12 C EL SEGUNDO, CA 90245
C
14 C VARIABLE DESCRIPTIONS:
                                                                C
                                                                C
16 C NAME TYPE
                       DESCRIPTION
17 C
          R4 ARRAY DIAMETER IN WAVELENGTHS
18 C D
          R4 CENTER TO CENTER DIPOLE SPACING IN THE X DIRECTION
19 C SX
          R4 CENTER TO CENTER DIPOLE SPACING IN THE Y DIRECTION
                                                                C
20 C SY
          14 MAXIMUM DIMENSION OF VECTORS COMPUTED FROM THE FORMULA
21 C M
                                                                C
              M=NEAREST INTEGER(PI*D*D/(16*SI*SY))
                                                                C
22 C
          14 NUMBER OF UNKNOWN ELEMENTS (N <= M)
                                                                C
23 C N
          14 NUMBER OF RADIAL SAMPLE RINGS COMPUTED FROM THE FORMULA
24 C NT
                                                                C
              NT=NEAREST INTEGER(6.44*D-5)
25 C
          14 NUMBER OF SAMPLE POINTS IN U-V PLANE COMPUTED FROM
                                                                C
20 C NS
27 C
              FORMULA NS=NT(9+NT)/2
                                                                C
28 C X(M)
          R4 I COORDINATES OF THE CENTER OF EACH DIPOLE
                                                                C
29 C Y(M)
          R4 Y COORDINATE OF THE CENTER OF EACH DIPOLE
          R4 AMPLITUDES OF EACH DIPOLE
                                                                C
30 C A(M)
31 C P(M)
          R4 SCRATCH VECTOR
                                                                C
32 C W(M)
          R4 SCRATCH VECTOR
                                                                C
33 C R(M)
          R4 SCRATCH VECTOR
                                                                C
          R4 SCRATCH VECTOR
34 C Q(M)
35 C Z(M)
          R4 SCRATCH VECTOR
          R4 SCRATCH VECTOR
                                                                C
36 C G(M)
37 C T(M)
          R4 SCRATCH VECTOR
                                                                C
                                                                C
38 C U(NS) R4 SCRATCH VECTOR
          R4 SCRATCH VECTOR
                                                                C
39 C V(NS)
40 C J(NS)
          14 SCRATCH VECTOR
                                                                C
                                                                C
41 C B(NS)
          R4 SCRATCH VECTOR
12 C E(K)
          R4 SCRATCH VECTOR
                                                                C
43 C H(M,M) R4 SCRATCH MATRIX
                                                                C
                                                                С
44 C S(M.NS) R4 SCRATCH MATRIX
                                                                C
45 C C(K,M) R4 SCRATCH MATRIX
```

```
PARAMETER (M=29,NS=980)
      REAL+4 X(M), Y(M), A(M), P(M), W(M), R(M), Q(M), Z(M), G(M), T(M), U(NS)
48
49
     \mathbf{k}, V(NS), B(NS), E(M), H(M,M), S(M,NS), C(M,M)
      INTEGER*4 J(NS)
50
      OPEN(UNIT=2,FILE='CGPOUT',STATUS='UNKNOWN')
53 C COMPUTE THE COORDINATES OF EACH DIPOLE EXCLUDING THE REFERENCE.
54 C THE TOTAL NUMBER OF DIPOLES SHOULD ALREADY BE KNOWN AS NSE.
      D=7.0
     SX=0.577
57
     SY=0.577
      CALL GEOMET(D,SX,SY,N,X,Y)
61 C COMPUTE THE EXCITATION AMPLITUDE OF EACH DIPOLE
CALL PARBOL(D, NOR, N, X, Y, A)
66 C COMPUTE THE SOLUTION
CALL CGPATT(M,N,X,Y,D,A,NS,P,W,R,Q,Z,G,U,V,T,B,J,E,H,S,C)
70 C PRINT THE PHASE IN DEGREES.
WRITE(2,103)
     WRITE(2,104) (I,P(I),I=1,N)
73
     WRITE(2,105) Z(1)
     WRITE(2,106) Z(2)
     WRITE(2,107) Z(3)
     WRITE(2,108) Z(4)
FORMATS
79 C
80 C*********************************
81 103 FORMAT(1H1,27K,'COMPUTED PHASE OF EACH ELEMENT IN RADIANS',//
     &,6X,'ELEMENT',6X,'PHASE',7X,'ELEMENT'
82
     &,6X,'PHASE',7X,'ELEMENT',6X,'PHASE',7X,'ELEMENT'
     &,6X,'PHASE',/,6X,'NUMBER',17X
R4
     &,'NUMBER',17X,'NUMBER',17X
     &,'NUMBER',/)
87 104 FORMAT(6X,14,6X,F7.2,2X,14,6X,F7.2,2X,14,6X,F7.2,2X,14,6X,F7.2)
88 105 FORMAT(10X, 'HALF POWER BEAMWIDTH (DEGREES) '2X,F6.2)
89 106
     FORMAT(10X, 'BEAMWIDTH BETWEEN FIRST NULLS (DEGREES)' 2X, F6.2)
00 107
     FORMAT(10X, 'AMPLITUDE TAPER EFFICIENCY (PERCENT) '2X, F6.2)
     FORMAT(10X, 'PHASE TAPER EFFICIENCY (PERCENT) '2X, F6.2)
91 108
     END
92
93 C
      SUBROUTINE GEOMET(D,SX,SY,N,X,Y)
```

```
96 C THIS SUBROUTINE COMPUTES THE COORDINATES OF THE CENTER OF EACH
97 C I DIRECTED DIPOLE FILLING THE FIRST QUADRANT OF A CIRCULAR APERTURE C
                                                                    C
98 C OF DIAMETER D WITH A DIPOLE LENGTH OF DL. THE CENTER TO CENTER
99 C X SPACING IS SX AND THE CENTER TO CENTER Y SPACING IS SY.
                                                                    C
   C********************************
        REAL*4 I(*), Y(*)
101
102
        REAL+8 DX,DY,XMIN,YMIN,XS,YS,D2,DL2,AR,RC
        DL=0.5
103
        IMIN=SX/2.0
104
        YMIN=SY/2.0
105
        DX=SX
106
107
        DY=SY
        DL2=DL/2.0
108
109
        D2=D/2.0
        SM=AMIN1(SX,SY)
110
        NS=INT(D/(2.0*SM))+4
111
        N = 0
112
        DO 1 I=0,NS
113
         YS=YMIN+I*DY
114
         DO 2 J=0,NS
115
          XS=XMIN+J*DX
116
117
          AR=(XS+DL2)*(XS+DL2)+YS*YS
          RC=DSQRT(AR)
118
          IF(RC .LE. D2) THEN
119
120
           N=N+1
           X(N)=XS
121
122
           Y(N)=YS
          ELSE
123
          END IF
124
    2
         CONTINUE
125
        CONTINUE
126
        RETURN
127
128
        END
129 C
        SUBROUTINE PARBOL(D, NOR, N, X, Y, A)
130
131 C********************
132 C THIS SUBROUTINE COMPUTES THE DIPOLE AMPLITUDE EXCITATION USING A
133 C PARABOLIC DISTRIBUTION.
135
        REAL*4 X(*), Y(*), A(*)
        DO 1 I=1,N
136
         R = SQRT(X(I) + X(I) + Y(I) + Y(I))
         A(I)=(1.0-(2.0*R/D)**2)**NOR
138
        CONTINUE
        RETURN
140
        END
141
112 C
```

```
143
        SUBROUTINE CGPATT(MDIM, NUE, X, Y, D, AI, NMP, P, W, R, Q, Z, G, U, V, TV, IP, B
       A,PW,H,PSI,JC)
144
146 C THIS SUBROUTINE IMPLEMENTS A CONJUGATE GRADIENT METHOD.
148 C VARIABLE DESCRIPTIONS:
149 C
                              DESCRIPTION
                                                                   C
150 C NAME TYPE
151 C
                                                                   C
                14 NUMBER OF UNKNOWN ELEMENTS
152 C NUE
                R4 I COORDINATES OF THE CENTER OF EACH DIPOLE
153 C X(NUE)
                                                                   C
154 C Y(NUE)
                R4 Y COORDINATE OF THE CENTER OF EACH DIPOLE
155 C D
                R4 DIAMETER
                R4 HEIGHT OF THE DIPOLE ARRAY ABOVE THE GROUND PLANE
156 C HT
                R4 AMPLITUDES OF EACH DIPOLE
                                                                   C
157 C AI(NUE)
                                                                   C
158 C NMP
                14 NUMBER OF MATCH POINTS IN U-V SPACE
                14 MAXIMUM NUMBER OF PEAK SIDELOBE LEVEL POINTS
159 C NJP
160 C NT
                14 NUMBER OF RADIAL SAMPLE RINGS
                                                                   C
                                                                   C
161 C IRS
               14 NUMBER OF RESTARTS
                                                                   C
162 C P(NUE)
               R4 SEARCH DIRECTION
                                                                   C
163 C W(NUE)
               R4 UNKNOWN PHASE AT EACH DIPOLE
                                                                   C
164 C R(NUE)
                R4 RESIDUAL
165 C Q(NUE)
               R4 WORK VECTOR FOR CG ALGORITHM
166 C Z(NUE)
               R4 ACTUAL UNKNOWN VECTOR FOR THE CG ALGORITHM
                                                                   C
187 C G(NUE)
               R4 GRADIENT
               R4 U COORDINATES OF THE SAMPLE SPACE
168 C U(NMP)
              R4 V COORDINATES OF THE SAMPLE SPACE
169 C V(NMP)
               R4 TEMPORARY VECTOR THAT STORES THE PHASE AT LOWEST SLL C
170 C TV(NUE)
                14 TAG ARRAY CONTAINING ALL PREVIOUS PEAK SLL LOCATIONS C
171 C IP(NMP)
172 C B(NMP)
                R4 BETA
                R4 POWER AT EACH PEAK SLL LOCATION
                                                                   C
173 C PW(NJP)
174 C H(NUE, NUE) R4 HESSIAN
175 C PSI(NUE, NMP) R4 MULTIPLIER DUE TO ELEMENT PATTERN
176 C JC(NJP, NUE) R4 JACOBIAN
178 C NMP EQUALS THE SUM FROM K=0 TO K=NT-1 OF (IPM+K*IPD) WHICH EQUALS
179 C NMP=NT*(2*IPM+(NT-1)*IPD)/2
REAL *4 H(MDIM, MDIM), PSI(MDIM, NMP), X(*), Y(*), P(*), W(*), R(*), Q(*)
181
       &,Z(*),G(*),B(*),U(*),V(*),PW(*),JC(MDIM,MDIK),AI(*),TV(*)
182
        INTEGER*4 IP(*)
183
        HT=0.25
184
185
        NJP=MDIM
        NT=NINT(6.44*D-5)
IRR
187
        irs=10*NUE
        SLLMIN=1000.0
188
189
        RAD=.17453293E-01
        PI=.3141593E+01
190
```

```
191
       TP=.62831853E+01
193 C COMPUTE THE AMPLITUDE TAPER LOSS.
CALL AMPTAP(NUE.AI.A.L)
198 C*************************
197 C INITIALIZE THE ELEMENT PHASES USING A LINEAR PHASE AND PERTURBATION. C
CALL INITAL (NUE, D, X, Y, W)
201 C FIND THE BEAMWIDTH OF THE INITIAL PATTERN.
202 C****************************
       CALL BEAMWD(NUE, X, Y, AI, W, HT, HPBW, BWFN)
203
204
       DO 55 IJ=1.NUE
       Z(IJ)=0.0
205
   55
       CONTINUE
208
       CALL BEAMWD (NUE, X, Y, AI, Z, HT, HPBWZ, BWFNZ)
209 C COMPUTE THE SAMPLE POINTS IN U-V SPACE.
       TMIN=BWFN/2.0
211
       TMAX=89.0
212
       PMIN=0.0
213
       PMAX=90.0
214
       IPM=5
9.
       IPD=1
216
       CALL ANGLES (TMIN, TMAX, PMIN, PMAX, N', IPM, IPD, U, V)
217
       CALL BETASO(HT,BO)
218
219
       CALL BETASI(NMP,U,V,HT,B)
       CALL PSIMNI(MDIM, NUE, NMP, X, Y, U, V, PSI)
220
       CALL POWERO(NUE, Z, AI, BO, PO1)
221
       INIT=0
222
       CALL POWMAX(MDIM, NUE, NMP, INIT, Z, PSI, B, AI, IP, NP, LMAX1, PMAX1)
223
224
      P1DB=10.0*ALOG10(PMAX1/P01)
       INIT=0
225
       CALL POWMAX(MDIM_NUE,NMP,INIT,W,PSI,B,AI,IP,NP,LMAX1,PMAX1)
226
       NP=1
227
      DO 1 IN=1, IRS
228
       CALL POWERO(NUE, W, AI, BO, PO)
229
       IF(IN .EQ. 1) THEN
230
        INIT=C
231
       ELSE
232
        INIT=1
233
       END IF
       IF(NP .EQ. NJP) THEN
235
        GO TO 909
238
       ELSE
237
       END IF
2.38
```

```
CALL POWMAX(MDIM, NUE, NMP, INIT, W, PSI, B, AI, IP, NP, L, PMAX)
239
        CALL POWPIE(MDIM.NUE.NMP.W.PSI.B.AI.NP.IP.S1.S2.PA.PW)
240
        CALL JACOBI(MDIM, NUE, NMP, NJP, W, PSI, B, IP, NP, S1, S2, PW, R, Z, P, AI, JC)
241
        CALL PPORGM(NUE, W, X, Y, AI, BO, Q)
242
243
        CALL GRADFG(NUE, PO, PA, P, Q, G)
        CALL HESIAN(MDIM, NUE, NMP, NJP, W, X, Y, PSI, BO, B, IP, NP, PO, PA, S1, S2
244
      &,R,Z,P,Q,PW,JC,G,AI,H)
247 C INITIALIZE THE RESIDUAL
  248
249
       DO 2 I=1,NUE
       R(I) = -G(I)
250
       CONTINUE
251
       IF(IN .EQ. 1) THEN
252
253
       CALL NORM22(NUE.R.RO)
       ELSE
254
       END IF
255
       DO 3 I=1,NUE
256
257
       Z(I) = 0.0
      CONTINUE
258
  C INITIALIZE SEARCH VECTOR
   262
       CALL MATVEC(MDIM, NUE, H, R, Q)
263
       CALL NORM22(NUE,Q,QN)
264
       BE0=1.0/QN
      DO 4 I=1.NUE
265
266
       P(I)=BEO*Q(I)
       CONTINUE .
267
  C************************
  C PERFORM 2 CONJUGATE GRADIENT ITERATIONS.
  DO 5 K=1.2
273 C UPDATE PHASE VECTOR AND RESIDUAL
  CALL MATVEC(MDIM, NUE, H, P,Q)
275
276
      CALL NORM22(NUE,Q,QN)
      AK=1.0/QN
277
      DO 6 I=1, NUE
278
       Z(I)=Z(I)+AK*P(I)
279
280
      CONTINUE
      DO 7 I=1, NUE
281
       R(I)=R(I)-AK*Q(I)
      CONTINUE
285 C UPDATE SEARCH VECTOR
```

```
287
         CALL MATVEC(MDIM, NUE, H, R,Q)
         CALL NORM22(NUE,Q,QN)
288
289
        BEK=1.0/QN
        DO 8 I=1,NUE
290
         P(I)=P(I)+BEK*Q(I)
291
        CONTINUE
292
        CONTINUE
293
   295 C KEEP THE PHASE BETWEEN -PI AND PI.
   PI=.31415926E+01
297
298
        TP=.62831853E+01
        DO 9 I=1,NUE
299
300
         W(I)=W(I)+Z(I)
301 888
        CONTINUE
302
         IF(W(I) .GT. PI) THEN
         W(I)=W(I)-TP
303
304
         GO TO 888
         ELSE
305
         END IF
306
         IF(W(I) .LT. -PI) THEN
307
          W(I)=W(I)+TP
308
309
          GO TO 888
         ELSE
310
         END IF
311
        CONTINUE
312
314 C COMPUTE THE SIDELOBE LEVEL AND COMPARE WITH THE MINIMIUM ACHIEVED
315 C IN ALL PRIOR ITERATIONS. STORE THE MINIMUM IN A TEMPORARY VECTOR.
316 C****************
        PDB=10.0*ALOG10(PMAX/PO)
317
318
        IF(PDB .LT. SLLMIN) THEN
319
         ISLL=IN
         SLLMIN=PDB
320
         DO 77 I=1,NUE
321
          TV(I)=W(I)
322
   77
         CONTINUE
323
        ELSE
324
325
326
        CALL BEAMWD(NUE, X, Y, AI, W, HT, HPBW, BWFN)
        PTL=100.0*(P0/P01)
327
        CONTINUE
328
    1
329 909
        CONTINUE
331 C CHANGE THE COMPUTED PHASE FROM RADIANS TO DEGREES
                                                                    C
332 ********************
333
        CALL DEGREE(NUE, TV, P)
334
        Z(1)=HPBW
```

```
335
        Z(2)=BWFN
        2(3)=ATL
336
        Z(4)=PTL
337
        RETURN
338
        END
339
340 C
        SUBROUTINE AMPTAP(NUE.AI.ATL)
341
343 C THIS SUBROUTINE COMPUTES THE AMPLITUDE TAPER LOSS.
REAL+4 AI(+)
345
        SUM1=0.0
346
        SUM2=0.0
347
        DO 1 I=1.NUE
348
        SUM1=SUM1+AI(I)
349
        SUM2=SUM2+AI(I)*AI(I)
350
    1 CONTINUE
351
        ATL=100.0*SUM1*SUM1/(NUE*SUM2)
352
        RETURN
353
        END
354
355 C
        SUBROUTINE ANGLES (TMIN, TMAX, PMIN, PMAX, NT, IPM, IPD, U, V)
356
357 C********************
358 C NT (14) - THE NUMBER OF SAMPLES IN THE THETA DIRECTION.
                                                               C
359 C IPM (14) - THE MINIMUM NUMBER OF SAMPLES IN THE PHI DIRECTION.
360 C IPD (14) - THE INCREMENT IN THE PHI SAMPLES FOR EACH THETA VALUE.
                                                               C
362 C THE TOTAL NUMBER OF SAMPLE POINTS IS GIVEN BY THE ARITHMETIC
                                                               C
363 C PROGRESSION FORMULA
365 C SUM FROM K=O TO K=NT OF (IPM+K+IPD) EQUALS NT+(2+IPM+(NT-1)+IPD)/2
        REAL+4 U(+), V(+)
367
       RAD=.17453293E-01
368
        TP=.6283185E+01
369
       DT=(TMAX-TMIN)/(NT-1)
370
       DR=(SIN(RAD*TMAX)-SIN(RAD*TMIN))/NT
371
372
       K=0
       KI=-IPD
373
       DO 1 I=0,NT-1
374
        KI=KI+IPD
375
        T=TMIN+I*DT
376
377
        RT=RAD*T
        DP=(PMAX-PMIN)/(KI+IPM-1)
378
379
        DO 2 J=0,KI+IPM-1
         K=K+1
380
         P=PMIN+J*DP
381
          RP=RAD*P
382
```

```
ST=SIN(RT)
383
384
         CP=COS(RP)
         SP=SIN(RP)
385
         TPS=TP*ST
386
         U(K)=TPS*CP
387
         V(K)=TPS*SP
388
389
        CONTINUE
       CONTINUE
    1
390
       RETURN
391
       END
392
393 C
       SUBROUTINE INITAL (NUE, D, I, Y, W)
394
  C THIS SUBROUTINE INITIALIZES THE UNKNOWN.
       REAL*4 X(*), Y(*), W(*)
398
399
       TP=.62831853E+01
       RAD=.17453293E-01
400
       R0=0.5*D
401
       DO 1 I=1,NUE
402
       IS=(-1)**I
403
404
        R=SQRT(I(I)*I(I)*Y(I)*Y(I))
       W(I)=3.0*RAD*IS
405
       CONTINUE
406
       RETURN
407
       END
408
409 C
410
       SUBROUTINE BETASO(HT,BO)
412 C THIS SUBROUTINE COMPUTES BETA AT THE MAIN BEAM POINT (UO, VO)
TP2=.39478418E+02
414
       ARG1=HT+SQRT(TP2)
415
       S=SIN(ARG1)
416
       B0=S*S/TP2
417
       RETURN
418
419
       END
420 C
       SUBROUTINE BETASI(NMP,U,V,HT,B)
421
423 C THIS SUBROUTINE COMPUTES BETA AT THE ITH SAMPLE POINT (U(I), V(I))
       REAL*4 U(*).V(*).B(*)
425
       TP2=.39478418E+02
428
       DO 1 I=1,NMP
427
       U2=U(I)*U(I)
428
        V2=V(I)*V(I)
429
        ARG1=HT+SQRT(TP2-U2-V2)
430
```

```
431
         ARG2=0.25*U(I)
         S=SIN(ARG1)
432
         C=COS(ARG2)
433
434
         B(I)=S*S*C*C/(TP2-U2)
    1 CONTINUE
435
        RETURN
436
        END
437
438 C
439
        SUBROUTINE PSIMNI(MDIM, NUE, NMP, 1, Y, U, V, PSI)
440 C**********************
441 C THIS SUBROUTINE COMPUTES PSI_{PQ}.
   443
        REAL*4 PSI(MDIM, NMP), X(*), Y(*), U(*), V(*)
444
        DO 1 I=1,NUE
        DO 2 J=1,NMP
445
         PSI(I,J)=COS(X(I)+U(J))+COS(Y(I)+V(J))
446
        CONTINUE
447
        CONTINUE
448
449
        RETURN
        END
450
451 C
        SUBROUTINE POWERO(NUE, W, AI, BO, PO)
452
   C THIS SUBROUTINE COMPUTES THE MAIN BEAM POWER AT THE POINT (0,0).
455
   C*********************************
456
        REAL*4 W(*), AI(*)
        SUM1=0.0
457
        SUM2=0.0
458
       DO 1 I=1,NUE
459
        SUM1=SUM1+AI(I)*COS(W(I))
460
        SUM2=SUM2+AI(I)*SIN(W(I))
461
        CONTINUE
462
       PO=BO+(SUM1+SUM1+SUM2+SUM2)
463
       RETURN
464
        END
465
466 C
467
        SUBROUTINE POWMAX(MDIM, NUE, NMP, INIT, W, PSI, B, AI, IP, NP, LMAX, PMAX)
468 (*****************************
469 C THIS SUBROUTINE COMPUTES THE MAXIMUM POWER AND UPDATES THE POINTER
470 C ARRAY IP WITH ALL THE PREVIOUS MAXIMUM LOCATIONS.
                                                               C
471 C**********************
       REAL*4 W(*), PSI(MDIM, NMP), B(*), AI(*)
472
       INTEGER*4 IP(*)
17.1 C*****************************
475 C SORT THROUGH THE DATA AND FIND THE MAXIMUM POWER AND THE INDEX
                                                               C
476 C NUMBER LMAX.
477 C****************************
       PMAX=-1000.0
478
```

```
LMAX=-1
479
        DO 1 I=1,NMP
480
         SUM1=0.0
481
         SUM2=0.0
482
         DO 2 K=1, NUE
483
          SUM1=SUM1+AI(K)+PSI(K,I)+COS(W(K))
484
          SUM2=SUM2+AI(K)+PSI(K,I)+SIN(W(K))
485
         CONTINUE
486
487
         P=B(I)*(SUM1*SUM1+SUM2*SUM2)
         IF(P .GT. PMAX) THEN
488
          LMAX=I
489
          PMAX=P
490
         ELSE
491
         END IF
        CONTINUE
493
495 C IF THIS IS THE FIRST CALL THEN SET THE POINTER TO THE FIRST MAXIMUM
496 C AND RETURN.
498
        IF(INIT .EQ. 0) THEN
         IP(1)=LMAX
499
        ELSE
500
501 C**************************
502 C COMPARE LMAX TO ALL THE PREVIOUS POINTERS IP(I), I=1...NP. IF LMAX
503 C MATCHES ONE OF THE PREVIOUS POINTERS THEN THE POINTER ARRAY NEED
                                                                    C
504 C NOT BE UPDATED. IF LMAX DOES NOT MATCH A PREVIOUS POINTER VALUE
                                                                    C
505 C THEN IT BECOMES THE NEXT VALUE OF THE NP+1TH ELEMENT OF THE POINTER C
506 C ARRAY.
507 C****************************
         IS=0
508
         DO 3 I=1.NP
509
          IF(IP(I) .EQ. LMAX) THEN
          IS=1
511
           GO TO 4
512
          ELSE
513
          END IF
514
         CONTINUE
515
         CONTINUE
516
         IF(IS .EQ. 0) THEN
517
         NP=NP+1
518
F19
          IP(NP)=LMAX
         ELSE
520
         END IF
        END IF
522
        RETURN
523
        END
524
525 C
        SUBROUTINE POWPIE(MDIM, NUE, NMP, W, PSI, B, AI, NP, IP, S1, S2, PA, PW)
528
```

```
528 C THIS SUBROUTINE COMPUTES THE POWER AT THE ITH POINT.
   REAL*4 W(*), PSI(MDIM, NMP), B(*), PW(*), AI(*)
530
         INTEGER*4 IP(*)
531
        51=0.0
532
        52≃0.0
533
        DO 1 I=1,NP
534
         INX=IP(I)
535
         SUM1=0.0
536
         SUM2=0.0
537
         DO 2 K=1,NUE
538
          SUM1=SUM1+AI(K)*PSI(K,INX)*COS(W(K))
539
          SUM2=SUM2+A1(K)*PSI(K,INX)*SIN(W(K))
540
         CONTINUE
541
         PW(I)=B(INX)*(SUM1*SUM1+SUM2*SUM2)
542
         S1=S1+PW(I)
543
         S2=S2+PW(I)*PW(I)
544
        CONTINUE
545
        PA=S2/S1
546
        S2=0.5*S2
547
        RETURN
548
        END
549
550 C
        SUBROUTINE JACOBI(MDIM, NUE, NMP, NJP, W, PSI, B, IP, NP, S1, S2, PW, A, BE
551
        &,PAG,AI,JC)
552
554 C THIS SUBROUTINE COMPUTES THE FIRST DERIVATIVE OF PI WITH RESPECT
555 C TO GAMMA_{M}.
        REAL*4 W(*), PSI(MDIM, NMP), B(*), JC(NJP, MDIM), PW(*), A(*), BE(*)
557
        &,PAG(*),AI(*)
558
        INTEGER*4 IP(*)
559
        C1=2.0/S1
560
561
        C2=-2.0*S2/(S1*S1)
563 C COMPUTE THE (NP X NUE) JACOBIAN
        DO 1 I=1,NP
565
         INX=IP(I)
566
         TB=2.0*B(INX)
567
         DO 2 M=1, NUE
568
          SUM1=0.0
569
          DO 3 K=1,NUE
570
           SUM1=SUM1+AI(K)*PSI(K,INX)*SIN(W(K)-W(M))
571
572
          JC(I,M)=TB*AI(M)*PSI(M,INX)*SUM1
573
         CONTINUE
574
     2
```

```
1
       CONTINUE
575
577 C SUM UP THE COLUMNS OF THE JACOBIAN TO USE FOR WORK VECTORS.
  DO 4 M=1,NUE
        SUM1=0.0
580
        SUM2=0.0
581
        DO 5 I=1,NP
582
        SUM1=SUM1+JC(I,M)
583
        SUM2=SUM2+PW(I)*JC(I,M)
584
    6
        CONTINUE
585
        A(M)=SUM1
586
        BE(M)=SUM2
587
        PAG(M)=C1*SUM2+C2*SUM1
588
    4 CONTINUE
589
       RETURN
590
       END
591
592 C
       SUBROUTINE GRADFG(NUE, PO, PA, PAG, POG, G)
593
505 C THIS SUBROUTINE COMPUTES THE GRADIENT OF THE WEIGHTED AVERAGE
                                                          C
  C MAXIMUM SIDELOBE LEVEL POWER.
                          *************
597 C*******************
       REAL*4 PAG(*), POG(*), G(*)
598
599
       C1=1.0/P0
       C2=-PA/(P0*P0)
600
       DO 1 M=1,NUE
601
        G(M)=C1*PAG(M)+C2*POG(M)
602
    1 CONTINUE
603
       RETURN
604
605
       END
ana C
       SUBROUTINE PPORGM(NUE, W, X, Y, AI, BO, POG)
609 C THIS SUBROUTINE COMPUTES THE VECTOR OF FIRST DERIVATIVES OF PO WITH C
610 C RESPECT TO GAMMA_{M}
                                                          C
       REAL*4 W(*), X(*), Y(*), AI(*), POG(*)
612
       C=2.0*B0
613
       DO 1 M=1, NUE
614
        SUM=0.0
615
        DO 2 K=1, NUE
        SUM=SUM+AI(K)*SIN(W(K)-W(M))
617
        CONTINUE
618
       POG(M)=C*AI(M)*SUM
619
       CONTINUE
620
       RETURN
821
       END
622
```

```
623 C
        SUBROUTINE HESIAN (MDIM, NUE, NMP, NJP, W, X, Y, PSI, BO, B, IP, NP, PO, PA, S1
624
       &,S2,A,BE,PAG,POG,PW,JC,G,AI,H)
625
627 C THIS SUBROUTINE COMPUTES THE HESSIAN OF THE WEIGHTED AVERAGE
                                                                     C
628 C MAXIMUM SIDELOBE LEVEL POWER.
        REAL*4 H(MDIM, MDIM), W(*), I(*), Y(*), PSI(MDIM, NMP), B(*)
630
       &,A(*),BE(*),PAG(*),POG(*),PW(*),JC(NJP,MDIM),G(*),AI(*)
631
        INTEGER*4 IP(*)
632
        C1=-2.0*P0/(S1*S1)
633
        C2=-1.0/(P0*P0)
634
        C3=2.0*B0
635
636 C*****************
637 C COMPUTE ALL INFORMATION FOR THE MTH ROW.
  DO 2 M=1,NUE
639
         CM=A(M)+PAG(M)
640
642 C COMPUTE ALL INFORMATION FOR THE NTH COLUMN.
         DO 3 N=M, NUE
644
          DWM=W(N)-W(M)
645
          AIMN=AI(M)*AI(N)
646
          CDWNM=COS(DWNM)
647
          POMN=C3+AIMN+CDWNM
648
          P2=PA*POMN
649
          SUM1=0.0
650
          SUM2=0.0
651
          DO 4 I=1.NP
652
           INX=IP(I)
853
           PIMN=2.0+B(INX)+PSI(N,INX)+PSI(M,INX)+CDWNM
654
           SUM1=SUM1+JC(I,M)+JC(I,N)+PW(I)*PIMN
655
           SUM2=SUM2+PIMN
656
          CONTINUE
657
           P1=P0*(G(N)*POG(M)+G(M)*POG(N))
658
659
           P3=C1*(A(N)*BE(M)-CM*BE(N)+S1*SUM1-S2*AIMN*SUM2)
          H(M,N)=C2*(P1+P2+P3)
660
         CONTINUE
661
        CONTINUE
662
664 C COMPLETE THE BOTTOM TRIANGLE OF THE SYMMETRIC MATRIX.
        DO 6 M=2.NUE
666
         DO 7 N=1, M-1
887
          H(M,N)=H(N,M)
668
         CONTINUE
669
        CONTINUE
870
```

```
671
       RETURN
672
       END
673 C
       SUBROUTINE NORM22(N,A,AN)
674
676 C THIS SUBROUTINE COMPUTES THE EUCLIDIAN NORM SQUARED OF A.
  REAL*4 A(*)
878
679
       AN=0.0
      DO 1 I=1,N
680
       AN=AN+A(I)*A(I)
681
      CONTINUE
682
       RETURN
683
       END
684
685 C
       SUBROUTINE MATVEC(MDIM, NUE, H, P,Q)
686
C THIS SUBROUTINE COMPUTES THE EUCLIDIAN NORM SQUARED OF A.
  C**********************************
690
      REAL *4 H(MDIM, MDIM), P(*), Q(*)
      DO 1 M=1,NUE
691
692
       SUM=0.0
       DO 2 N=1,NUE
693
694
        SUM = SUM + H(M,N) + P(N)
       CONTINUE
695
       Q(M)=SUM
696
      CONTINUE
697
698
      RETURN
      END
699
700 C
      SUBROUTINE DEGREE(NUE, W,R)
701
C THIS SUBROUTINE CONVERTS RADIANS INTO DEGREES
  704
      REAL+4 W(+),R(+)
      PI=.31415926E+01
706
707
      DO 1 I=1.NUE
       R(I)=(180.0/PI)*W(I)
708
      CONTINUE
709
      DO 2 I=1, NUE
710
      CONTINUE
711
      IF(R(I) .LT. -180.0) THEN
712
713
       R(1)=R(1)+360.0
       GO TO 3
714
      ELSE IF(R(I) .GT. 180.0) THEN
715
       R(I)=R(I)-360.0
716
       GO TO 3
717
718
      ELSE
```

```
END IF
719
720
       CONTINUE
       RETURN
721
722
        END
723 C
        SUBROUTINE BEAMWD (NUE, I, Y, AI, W, HT, HPBW, BWFN)
724
726 C THIS SUBROUTINE COMPUTES THE HALF POWER BEAMWIDTH AND THE BEAMWIDTH C
727 C BETWEEN FIRST NULLS OF THE POWER PATTERN.
       REAL*4 I(*),Y(*),AI(*),W(*)
729
       RAD=.17453293E-01
730
731
        TP=.62831853E+01
        TP2=.39478418E+02
732
734 C COMPUTE THE POWER IN THE MAIN BEAM.
        ARG1=HT*TP
736
        S=SIN(ARG1)
737
       B0=S*S/TP2
738
       SUM1=0.0
739
740
       SUM2=0.0
       DO 4 I=1,NUE
741
        SUM1=SUM1+AI(I)*COS(W(I))
742
        SUM2=SUM2+AI(I)*SIN(W(I))
743
       CONTINUE
744
        PO=BO*(SUM1*SUM1+SUM2*SUM2)
745
747 C FIND THE HALF POWER POINT AND THE FIRST NULL POWER POINT.
T=0.0
749
       DT=.05
750
751
       PLOWER=1.0
       ITAG=0
752
       P=45.0
753
       RP=RAD*P
754
        TPCP=TP*COS(RP)
755
       TPSP=TP*SIN(RP)
756
       CONTINUE
757
758
        T=T+DT
        R=TP*SIN(RAD*T)
759
        ST=SIN(RAD*T)
760
        U=ST+TPCP
761
782
        V=ST*TPSP
        U2=U*U
763
764
        ARG1=HT*SQRT(TP2-U2-V2)
765
766
        ARG2=0.25*U
```

```
S=SIN(ARG1)
767
           C=COS(ARG2)
768
           BI=S*S*C*C/(TP2-U2)
769
           S1=0.0
770
           S2=0.0
771
           DO 6 K=1,NUE
772
            UX=U*X(K)
773
            VY = V * Y(K)
774
            PSI=COS(X(K)*U)*COS(Y(K)*V)
775
776
            S1=S1+AI(K)*PSI*COS(W(K))
            S2=S2+AI(K)*PSI*SIN(W(K))
777
           CONTINUE
778
           P0I=BI*(S1*S1+S2*S2)/P0
779
           IF(POI .LE. PLOWER) THEN
780
            IF((POI .LE. 0.5).AND.(ITAG .EQ. 0)) THEN
781
             HPBW=2.0*T
782
             ITAG=1
783
784
            ELSE
            END IF
785
            PLOWER=POI
786
            GO TO 5
787
           ELSE
788
            BWFN=2.0*T
            GO TO 7
790
           END IF
791
          CONTINUE
792
          RETURN
793
794
          END
```

LABORATORY OPERATIONS

The Aerospace Corporation functions as an "architect-engineer" for national security projects, specializing in advanced military space systems. Providing research support, the corporation's Laboratory Operations conducts experimental and theoretical investigations that focus on the application of scientific and technical advances to such systems. Vital to the success of these investigations is the technical staff's wide-ranging expertise and its ability to stay current with new developments. This expertise is enhanced by a research program aimed at dealing with the many problems associated with rapidly evolving space systems. Contributing their capabilities to the research effort are these individual laboratories:

Aerophysics Laboratory: Launch vehicle and reentry fluid mechanics, heat transfer and flight dynamics; chemical and electric propulsion, propellant chemistry, chemical dynamics, environmental chemistry, trace detection; spacecraft structural mechanics, contamination, thermal and structural control; high temperature thermomechanics, gas kinetics and radiation; cw and pulsed chemical and excimer laser development, including chemical kinetics, spectroscopy, optical resonators, beam control, atmospheric propagation, laser effects and countermeasures.

Chemistry and Physics Laboratory: Atmospheric chemical reactions, atmospheric optics, light scattering, state-specific chemical reactions and radiative signatures of missile plumes, sensor out-of-field-of-view rejection, applied laser spectroscopy, laser chemistry, laser optoelectronics, solar cell physics, battery electrochemistry, space vacuum and radiation effects on materials, lubrication and surface phenomena, thermionic emission, photosensitive materials and detectors, atomic frequency standards, and environmental chemistry.

Electronics Research Laboratory: Microelectronics, solid-state device physics, compound semiconductors, radiation hardening; electro-optics, quantum electronics, solid-state lasers, optical propagation and communications; microwave semiconductor devices, microwave/millimeter wave measurements, diagnostics and radiometry, microwave/millimeter wave thermionic devices; atomic time and frequency standards; antennas, rf systems, electromagnetic propagation phenomena, space communication systems.

Materials Sciences Laboratory: Development of new materials: metals, alloys, ceramics, polymers and their composites, and new forms of carbon; nondestructive evaluation, component failure analysis and reliability; fracture mechanics and stress corrosion; analysis and evaluation of materials at cryogenic and elevated temperatures as well as in space and enemy-induced environments.

Space Sciences Laboratory: Magnetospheric, auroral and cosmic ray physics, wave-particle interactions, magnetospheric plasma waves; atmospheric and ionospheric physics, density and composition of the upper atmosphere, remote sensing using atmospheric radiation; solar physics, infrared astronomy, infrared signature analysis; effects of solar activity, magnetic storms and nuclear explosions on the earth's atmosphere, ionosphere and magnetosphere; effects of electromagnetic and particulate radiations on space systems; space instrumentation.